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FROMMER LAWRENCE & HAUG LLP

745 Fifth Avenue
New York, New York 10151
Telephone: (212) 588-0800
Facsimile: (212) 588-0500
E-mail: Firm@flhlaw.com

FACSIMILE COVER LETTER

To: Commissioner for Patents
Examiner Nguyen, Huy Thanh

Firm: U.S. Patent and Trademark Office
Art Unit 2621

Facsimile: (571) 273-8300

From: Thomas F. Presson

Date: October 26, 2006

Re: FLH Ref No.: 450100-03183
Serial No: 09/843,415

Number of Pages: 17
(including cover page)

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00407087

PATENT
450100-03183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s) : Takeo MORINAGA et al.
 Serial No. : 09/843,415
 For : INFORMATION TRANSMITTING METHOD, INFORMATION PROCESSING METHOD
 AND APPARATUS, AND INFORMATION RECORDING AND REPRODUCING METHOD
 AND APPARATUS
 Filed : April 26, 2001
 Examiner : NGUYEN, Huy Thanh
 Art Unit : 2821

COMMISSIONER FOR PATENTS
 Alexandria, VA 22313-1450
 Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
☐ The fee has been calculated as shown below.
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional fee
Total claims	13	Minus	20 =	0 ×	\$50(25)	= \$0
Independent claims	4	Minus	5 =	0 ×	\$200(100)	= \$0
			Total additional fee for this amendment			= \$0

- * If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
 ** If the highest number of total claims previously paid for is less than 20, write "20" in this space.
 *** If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$360 (\$180) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the _____ month following the expiration of the term originally set therefor. This is a petition to request a _____-month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A USPTO Form 2038 - Credit Card Payment Form in the amount of \$ _____ .00 is attached, which covers the cost of ☐ additional claims and ☐ -month petition for extension of time.
- ☐ Charge \$ _____ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

CERTIFICATE OF FACSIMILE

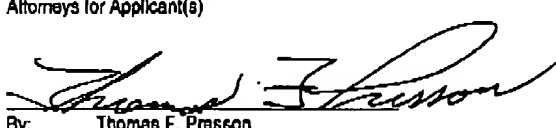
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Barnet Shindlman
 (Name of person signing transmittal)

Signature

October 26, 2006
 Date of Signature

FROMMER LAWRENCE & HAUG, LLP
 Attorneys for Applicant(s)

By: 
 Thomas F. Prasse
 Reg. No. 41,442
 Tel. (212) 588-0800

00407084

U.S. Application Serial No. 09/843,415
Reply to Office Action of August 24, 2006

PATENT
450100-03183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Takeo Morinaga, et al.

Serial No. : 09/843,415

For : INFORMATION TRANSMITTING METHOD,
INFORMATION PROCESSING METHOD AND
APPARATUS, AND INFORMATION RECORDING AND
REPRODUCING METHOD AND APPARATUS

Filed : April 26, 2001

Examiner : Nguyen, Huy Thanh

Art Unit : 2621

Confirmation No. : 9347

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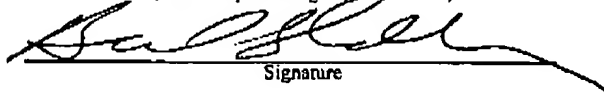
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Barnet Shindlman

(Name of person signing transmittal)



Signature

October 26, 2006

Date of Signature

RESPONSE UNDER 37 C.F.R. §1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the non-final Office Action mailed on August 24, 2006, having a
three-month shortened statutory period for response set to expire on November 24, 2006, please
consider the following remarks.

U.S. Application Serial No. 09/843,415
Reply to Office Action of August 24, 2006

PATENT
450100-03183

A Listing of the Claims begins on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.